

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## UTILITY PATENT APPLICATION TRANSMITTAL UNDER 37 CFR 1.53(b)

03940 U.S. PTO  
10/669621  
09/24/03

|   |                               |                      |
|---|-------------------------------|----------------------|
| <b>Address to:</b><br>M/S: PATENT APPLICATION<br>Commissioner for Patents<br>P.O. Box 1450<br>Alexandria, VA 22313-1450 | <b>Attorney Docket No.</b>    | 12553/122            |
|   | <b>Inventor(s)</b>            | Mingbing WONG et al. |
|   | <b>Express Mail Label No.</b> | EV351181185US        |
|   | <b>Total Pages</b>            | 38                   |

**Title of Application:**

**POLISH CLEANING APPARATUS AND METHOD IN MANUFACTURE OF HGA**

**Transmitted with the patent application are the following:**

- 2 Page(s) Transmittal form (and one copy)
- 14 Page(s) Specification (9), Claims (2), Abstract (1); Drawings (2)
- 6 Page(s) Request Formal drawings and Formal Drawings (and one copy of drawings)
- 2 Page(s) Declaration and Power of Attorney (signed copy from parent application)
- 11 Page(s) Preliminary Amendment
- 3 Page(s) Information Disclosure Statement (IDS) and PTO-1449 form (13 references not included in page count)
- Page(s) Certified copy of:
- Page(s) Statement of Related Applications

**This application is a   X   Divisional of prior application Serial No. 09/810,833, filed March 16, 2001.**

| Fee calculation for large entity: | No. Filed | Allowed | No. Extra | Rate       | Fee      |
|-----------------------------------|-----------|---------|-----------|------------|----------|
| Basic Fee                         |           |         |           |            | \$750.00 |
| Total Claims                      | 7         | -20     | 0         | 18.00      |          |
| Independent Claims                | 1         | -3      | 0         | 84.00      |          |
| Multiple Dependent Claim          |           |         |           | +\$280.00  |          |
|                                   |           |         |           | Assignment |          |
|                                   |           |         |           | Total      | \$750.00 |

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or arising during the pendency of this application per 37 CFR §1.16-1.21, or to credit any overpayments, to Deposit Account 11-0600.

**Express Mail Certificate**

I hereby certify that the above paper/fee is being deposited with the United States Postal Service Express Mail Post Office to Addressee service under 37 CFR 1.10 on the date indicated below and is addressed to the M/S: PATENT APPLICATION, P. O. Box 1450, Alexandria, VA 22313-1450

Date: September 24, 2003

Signature

*Barbara Vance*  
Barbara Vance

Customer  
Number:

25693

**Respectfully submitted,**

*Stephen T. Neal*  
\_\_\_\_\_  
Stephen T. Neal  
(Reg. No. 47,815)  
Attorney for Applicant(s)

Date: September 24, 2003

**Patent**

**Attorney Docket No.: 12553/122**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS : Mingbing WONG et al.

DIVISIONAL OF  
SERIAL NO. : 09/810,833

PARENT APPLICATION  
FILED : March 16, 2001

FOR : POLISH CLEANING APPARATUS AND METHOD  
IN MANUFACTURE OF HGA

GROUP ART UNIT : 1746

EXAMINER : Bibi Sharidan CARRILLO

M/S: PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

| CERTIFICATE OF MAILING  |  |
|---|--|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail No. EV351181185US, in an envelope addressed to: M/S: PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on |  |
| Dated: <u>September 24, 2003</u>  | Signature: <u>Barbara Vance</u><br>Barbara Vance |

**TRANSMITTAL OF FORMAL DRAWINGS**

Dear Sir:

The two (2) pages of formal drawings which accompany the present transmittal letter are to replace the originally submitted informal drawings which accompanied the subject patent application at the time of filing. A duplicate copy of the formal drawings is also enclosed.

Each drawing is labeled on the front of each drawing with the application serial number, and the filing date.

Applicants believe there is no fee for replacing informal drawings on file with formal drawings.

However, the Patent and Trademark Office is hereby authorized to charge any necessary fees, or credit any overpayments to Deposit Account No. 11-0600.

Respectfully submitted,

KENYON & KENYON

Date: September 24, 2003

By: Stephen T. Neal  
Stephen T. Neal  
(Reg. No. 47,815)

**Correspondence Address:**

Stephen T. Neal, Esq.  
KENYON & KENYON  
333 W. San Carlos Street, Suite 600  
San Jose, CA 95110

Telephone: (408) 975-7500  
Facsimile: (408) 975-7501